

Notification No.: PMEX/MKT, BD & CSS/2024/20

May 06, 2024

SECP Session on Role of Financial Monitoring Unit, goAML and Beneficial Ownership Regime

All brokers are hereby informed that the Securities and Exchange Commission of Pakistan (SECP) in collaboration with the Financial Monitoring Unit (FMU) has arranged a capacity building session for PMEX and PSX brokers with an objective to:

- i. Create awareness for understanding the role of FMU, goAML, filing of STRs/CTRs and sector specific queries
- ii. Understand beneficial ownership ecosystem and growing potential risks of misuse of legal persons

Following is the schedule for the session:

Date	Timings	Topic	Location/Venue
Tuesday, May 14, 2024	9:30 AM to 1:00 PM	Role of FMU, goAML & Beneficial Ownership Regime	PSX Auditorium, 3 rd Floor, Administration Block, Stock Exchange Building, Karachi

Please note that video-conferencing facility will be available at PSX regional offices for the brokers situated in Lahore and Islamabad.

All brokers are advised to note the above and ensure full participation.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 111-11-PMEX (7639) or by email at support@pmex.com.pk.

Best regards,



Tariq Sabih

Chief Regulatory Officer

Cc:

1. The Director/ HOD, AML Dept., SECP
2. The Add. Director/ HOD, CRDD, (SMD), SECP
3. The Director/ HOD Listed & Unlisted Companies Dept. (SD), SECP
4. The Director/ HOD, Onsite Dept., (SD) SECP
5. The Chief Executive Officer, PSX
6. The Chief Regulatory Officer, PSX

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