

**Circular No.: PMEX/MKT, BD&CSS/2021/29**

**November 09, 2021**

**Submission of Questionnaires Related to National Risk Assessment 2021**

Pakistan is a member of the Financial Action Task Force (FATF) Asia/Pacific Group on Money Laundering (APG). In recognition of Pakistan's ongoing efforts towards complying with the FATF requirements, the FATF Asia Pacific Group (APG) assessors have re-rated Pakistan as 'Largely Compliant'/'Compliant' in 20 recommendations relevant to SECP and its regulated entities. This would not have been possible without the help of PMEX brokers.

As part of the ongoing FATF evaluation process, Pakistan is carrying out a National Risk Assessment (NRA) in 2021. The last NRA was carried out in 2019. This is an important exercise that helps establish risk in the national risk context and helps regulated entities and regulators effectively manage money laundering and terrorist financing risk. In this regard, the SECP has shared following three documents which are self-explanatory for timely completion by PMEX brokers:

1. AML/CFT Control Questionnaire;
2. Questionnaire for National Risk Assessment (Sectoral); and
3. Risk Factors.

To help SECP meet FATF deadlines for Pakistan, it is imperative that these documents are completed by all brokers and submitted to PMEX through email to [compliance@pmex.com.pk](mailto:compliance@pmex.com.pk) latest by **November 15, 2021**. Please mark "not applicable" where the required information/question is not relevant to PMEX.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 111-11-PMEX (7639) or by email at [support@pmex.com.pk](mailto:support@pmex.com.pk).

Best regards,



**Tariq Sabih**  
Chief Regulatory Officer

**Encl.:** As stated above.

**Cc:** The Director, Onsite Dept. (SD), SECP  
The Addl. Director, Offsite Dept. I (SD), SECP