

Circular No.: PMEX/MKT, BD&CSS/2021/24

September 16, 2021

Submission of Bi-Annual Compliance Confirmation of Branch Office(s)

Attention of all brokers is drawn to clause 23.6.8 of the PMEX Rule Book which is reproduced below:

23 OTHER OBLIGATIONS:

"23.6.8 In addition to regular monitoring, the Compliance Officer of the Broker shall confirm to the Exchange on a bi-annual basis that the Branch Office(s) of the Broker is/are in compliance with the applicable regulations of the Commission and the Exchange. In case of any non-compliance, the Compliance Officer shall report the same to the Broker, Exchange and Commission."

In view of the above, all brokers are advised to submit the aforementioned information to the Exchange latest by 30th of the subsequent month of every half year at compliance@pmex.com.pk.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021- 111-11-7639 (PMEX), or by email at support@pmex.com.pk.



For & On Behalf of
Chief Regulatory Officer

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