

Circular No.: PMEX/MKT, BD&CSS/2019/53

November 26, 2019

## Display of Important Information for Clients

All brokers are hereby informed that the Securities & Exchange Commission of Pakistan (SECP), has required all brokers to prominently display the following standardized information at all their offices, branches and places of business including their official website and all social media platforms:

Membership/valid license details

- Information regarding prohibition of dealing in cash, deposit taking, promise of fixed return and sharing of User ID/Password
- Important instructions for their clients, such as Do's and Don'ts etc.

Information relating to Direct Fund Model

Information for lodging complaint with PMEX in case the broker is unable to resolve the issue satisfactorily

All brokers are advised to ensure immediate compliance of this circular in letter and spirit.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 111-11-PMEX (7639) or by email at support@pmex.com.pk.

Best regards,

Syed Mumtaz Ali Chief Regulatory Officer