

Filing of Annual Report with the Commission and the Exchange

Attention of all brokers is drawn to sub-clause (3) of clause 30 of the Futures Brokers (Licensing and Operations) Regulations, 2018 ("Regulations") and clause 9(a) of Corporate Governance Code for Futures Broker which are reproduced below:

“30. Preparation and submission of financial statements:-

(3) futures broker shall submit, within four months after the end of each financial year, its annual financial statements to the Commission along with its audit report.”

“9. Corporate and financial reporting framework:-

a) Not later than four months from the close of the financial year, all futures brokers shall prepare and circulate an Annual Report to the Commission and the futures exchange. The Annual Report shall contain the following:

- i) Annual audited financial statements;*
- ii) Directors’ Report;*
- iii) A statement by the CEO that there are no transactions entered into by the broker during the year, which are fraudulent, illegal or in violation of any futures market laws.*

All brokers are advised to ensure timely compliance with the above-mentioned regulatory requirements.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021-111-11-7639 (PMEX), or by email at support@pmex.com.pk.

Best Regards,



Syed Mumtaz Ali
Chief Regulatory Officer

Head Office:
3B, 3rd Floor
Bahria Complex IV
Ch. Khalique-uz-Zaman Road
Gizri, Karachi – 75600, Pakistan.

Islamabad Office:
Office No. G-9 (B)
ISE Towers, Jinnah Avenue
Blue Area
Islamabad, Pakistan.

Lahore Office:
Office # 01, P2 Floor
Ali Tower, 105-B2
M. M. Alam Road, Gulberg 3
Lahore, Pakistan.

UAN: +92 21 111-623-623
Fax: +92 21 35155-022
Islamabad: +92 51 2894003-4
Lahore: +92 42 35752825-6
Email: info@pmex.com.pk